

**NATIONAL INDIAN GAMING COMMISSION
MICS AUDIT CHECKLIST
GAMING MACHINES (GM)**

#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
(a) Standards for computerized gaming machine systems.						
1.	For all computerized gaming machine systems, is a personnel access listing maintained, which includes at a minimum: (Review supporting documentation) Employee name or employee identification number (or equivalent)?	_____	_____	_____	542.13(a)(3)(i)	
2.	For all computerized gaming machine systems, is a personnel access listing maintained, which includes at a minimum: (Review supporting documentation) Listing of functions employee can perform or equivalent means of identifying same?	_____	_____	_____	542.13(a)(3)(ii)	
(d) Jackpot payouts, gaming machines fills, short pays and accumulated credit payouts standards.						
3.	For jackpot payouts and gaming machine fills, does the documentation include the following information: (Examination of records-complete Jackpot-Payout Fill worksheet) Date and time?	_____	_____	_____	542.13(d)(1)(i)	
4.	For jackpot payouts and gaming machine fills, does the documentation include the following information: (Examination of records-complete Jackpot-Payout Fill worksheet at question 3 above.) Machine number?	_____	_____	_____	542.13(d)(1)(ii)	
5.	For jackpot payouts and gaming machine fills, does the documentation include the following information: (Examination of records-complete Jackpot-Payout Fill worksheet at question 3.) Dollar amount of cash payout or gaming machine fill (both alpha and numeric) or description of personal property awarded, including fair market value? (Note: Alpha is optional if another unalterable method is used for evidencing the amount of the payout.)	_____	_____	_____	542.13(d)(1)(ii)	

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6.	For jackpot payouts and gaming machine fills, does the documentation include the following information: (Examination of records-complete Jackpot-Payout Fill worksheet at question 3 above.) Game outcome (including reel symbols, card values, suits, etc.) for jackpot payouts? (Note: Game outcome is not required if a computerized jackpot/fill system is used.)	_____	_____	_____	542.13(d)(1)(i)v)	
7.	For jackpot payouts and gaming machine fills, does the documentation include the following information: (Examination of records-complete Jackpot-Payout Fill worksheet at question 3 above.) Preprinted or concurrently printed sequential number?	_____	_____	_____	542.13(d)(1)(v)	
8.	For jackpot payouts and gaming machine fills, does the documentation include the following information: (Examination of records-complete Jackpot-Payout Fill worksheet at question 3 above.) For gaming operations not utilizing an on-line accounting system: Signatures of at least two employees verifying and witnessing the payout or gaming machine fill? (Note: On graveyard shifts (eight hour maximum) payout/fills less than \$100 can be made without the payout fill being witnessed by a second person. Second person verification signature still required. Verification signature is satisfied if the second signer can reasonably verify that payout/fill is justified.)	_____	_____	_____	542.13(d)(1)(vi)	
9.	For jackpot payouts and gaming machine fills, does the documentation include the following information: (Examination of records-complete Jackpot-Payout Fill worksheet at question 3 above.) For gaming operations not utilizing an on-line accounting system:	_____	_____	_____	542.13(d)(1)(vi)(A)	

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	Do jackpot payouts over a predetermined amount: \$ _____ Require the signature and verification of a supervisory or management employee independent of the gaming machine department, in addition to the two (2) signatures required by question 8?					
10.	For jackpot payouts and gaming machine fills, does the documentation include the following information: (Examination of records-complete Jackpot-Payout Fill worksheet at question 3 above.) For gaming operations utilizing an on-line accounting system: Signature of at least one employee verifying and witnessing the payout or gaming machine fill provided the payout/fill amount is less than \$1,200?	_____	_____	_____	542.13(d)(1)(vi)	
11.	For jackpot payouts and gaming machine fills, does the documentation include the following information: (Examination of records-complete Jackpot-Payout Fill worksheet at question 3 above.) For gaming operations utilizing an on-line accounting system: Signatures of at least two employees verifying and witnessing the payout or gaming machine fill for all payouts/ fills \$1,200 or more?	_____	_____	_____	542.13(d)(1)(vi)	
12.	For jackpot payouts and gaming machine fills, does the documentation include the following information: (Examination of records-complete Jackpot-Payout Fill worksheet at question 3 above.) For gaming operations utilizing an on-line accounting system: Do jackpot payouts over a predetermined amount: (State the dollar amount in the Comment Section).	_____	_____	_____	542.13(d)(1)(vi)(A)	

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	Require the signature and verification of a supervisory or management employee independent of the gaming machine department, in addition to the signature requirement in question 10 or in place of one of the signatures required in question 11?					
13.	For jackpot payouts and gaming machine fills, does the documentation include the following information: Is the predetermined amount authorized by management documented and maintained? (Review policies and procedures)	_____	_____	_____	542.13(d)(1)(v) i(A)	
14.	For jackpot payouts and gaming machine fills, does the documentation include the following information: Has the gaming operation received approval for the predetermined jackpot amount? (Review TGRA approval)	_____	_____	_____	542.13(d)(1)(v) i(A)	
15.	For short pays of \$10.00 or more, and payouts required for accumulated credits, does the payout form include the following information: (Examination of records) Date and time?	_____	_____	_____	542.13(d)(2)(i)	
16.	For short pays of \$10.00 or more, and payouts required for accumulated credits, does the payout form include the following information: (Examination of records) Machine number?	_____	_____	_____	542.13(d)(2)(ii))	
17.	For short pays of \$10.00 or more, and payouts required for accumulated credits, does the payout form include the following information: (Examination of records) Dollar amount of payout (both alpha and numeric)?	_____	_____	_____	542.13(d)(2)(ii) i)	
18.	For short pays of \$10.00 or more, and payouts required for accumulated credits, does the payout	_____	_____	_____	542.13(d)(2)(i)	

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	form include the following information: (Examination of records) The signature of at least one (1) employee verifying and witnessing the payout?					v)
19.	For short pays of \$10.00 or more, and payouts required for accumulated credits, does the payout form include the following information: (Examination of records) For payouts of \$50 or more, are there signatures of at least two (2) employees verifying and witnessing the payout? Alternatively, the signature of one (1) employee is sufficient if an on-line accounting system is utilized and the payout amount is less than \$3,000.	_____	_____	_____		542.13(d)(2)(i)v)(A)
20.	Are computerized jackpot/fill systems restricted so as to prevent unauthorized access and fraudulent payouts by one person as required by Section IT questions 1-6? (Inquiry)	_____	_____	_____		542.13(d)(3)
21.	Are payouts forms controlled and routed in a manner that precludes any one person from producing a fraudulent payout by forging signatures or by altering the amount of the paid out subsequent to the payout and misappropriating the funds? (Observation and inquiry)	_____	_____	_____		542.13(d)(4)
(e) Promotional payouts or awards.						
22.	If the gaming operation offers promotional payouts or awards that are not reflected on the gaming machine pay table, does the payout form/documentation include the following: (Examination of records) Date and time?	_____	_____	_____		542.13(e)(1)(i)
23.	If the gaming operation offers promotional payouts or awards that are not reflected on the gaming machine pay table, does the payout	_____	_____	_____		542.13(e)(1)(ii)

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	form/documentation include the following: (Examination of records) Machine number and denomination?					
24.	If the gaming operation offers promotional payouts or awards that are not reflected on the gaming machine pay table, does the payout form/documentation include the following: (Examination of records) Dollar amount of payout or description of personal property (e.g., jacket, toaster, car, etc.), including fair market value?	_____	_____	_____	542.13(e)(1)(ii)	
25.	If the gaming operation offers promotional payouts or awards that are not reflected on the gaming machine pay table, does the payout form/documentation include the following: (Examination of records) Type of promotion (e.g., double jackpots, four-of-a-kind bonus, etc.)?	_____	_____	_____	542.13(e)(1)(iv)	
26.	If the gaming operation offers promotional payouts or awards that are not reflected on the gaming machine pay table, does the payout form/documentation include the following: (Examination of records) Signature of at least one employee authorizing and completing the transaction?	_____	_____	_____	542.13(e)(1)(v)	
(f) Gaming machine department funds standards.						
27.	Are gaming machine booths and change banks that are active during the shift counted down and reconciled each shift utilizing appropriate accountability documentation? (Examination of records) (Note: Unverified transfers of cash and/or cash equivalents are prohibited)(Revised 8/12/2005)	_____	_____	_____	542.13(f)(1)	
28.	Is the wrapping of loose gaming machine booth and cage cashier coin performed at a time or location that does not interfere with the hard count/wrap process or the accountability of that	_____	_____	_____	542.13(f)(2)	

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	process? (Observation and inquiry)					
29.	Are records maintained evidencing the transfers of wrapped and unwrapped coins and retained for seven (7) days? (Examination of records)	_____	_____	_____	542.13(f)(3)	
(g) EPROM control standards.						
30.	At least annually, are procedures performed to insure the integrity of a sample of gaming machine game program EPROM's, or other equivalent gaming software media, by personnel independent of the gaming department or the machines being tested? (Review supporting documentation) (Describe procedures in Comment Section.) (At a minimum, the Casino should assure that Kobetron sampling of 10% of all gaming machines.)	_____	_____	_____	542.13(g)(1)	
31.	Has the gaming operation developed and implemented procedures for the following: (Inquiry and Review policies and procedures) Removal of EPROM's, or other equivalent game software media, from devices, the verification of the existence of errors as applicable, and the correction via duplication from the master game program EPROM, or other equivalent game software media?	_____	_____	_____	542.13(g)(2)(i)	
32.	Has the gaming operation developed and implemented procedures for the following: (Inquiry and Review policies and procedures) Copying one gaming device program to another approved program?	_____	_____	_____	542.13(g)(2)(ii)	
33.	Has the gaming operation developed and implemented procedures for the following: (Inquiry and Review policies and procedures) Verification of duplicated EPROM's before being offered for play?	_____	_____	_____	542.13(g)(2)(ii)	
34.	Has the gaming operation developed and implemented procedures for the following: (Inquiry and Review policies and procedures)	_____	_____	_____	542.13(g)(2)(i)	

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	Receipt and destruction of EPROM's, or other equivalent game software media?					
35.	Has the gaming operation developed and implemented procedures for the following: (Inquiry and Review policies and procedures) Securing the EPROM, or other equivalent game software media, duplicator, and master game EPROM's, or other equivalent game software media, from unrestricted access?	—	—	—	542.13(g)(2)(v)	
36.	Has the gaming operation received approval for the procedures in questions 31-35? (Review TGRA approval)	—	—	—	542.13(g)(2)(i)	
37.	Are the master game program number, par percentage, and the pay table verified to the par sheet when initially received from the manufacturer? (Inquiry)	—	—	—	542.13(g)(3)	
38.	For gaming machines with potential jackpots in excess of \$100,000, is the game software circuit boards locked or physically sealed and does the lock or seal necessitate the presence of a person independent of the gaming machine department to access the device game program EPROM, or other equivalent game software media and if a seal is used is it pre-numbered? (Inquiry and review other- complete Gaming Machine worksheet, \$100,000 Machine section)	—	—	—	542.13(g)(4)	
39.	Are records maintained documenting the procedures in question 31 include the following information: (Inquiry and Review supporting documentation) Date?	—	—	—	542.13(g)(5)(i)	
40.	Are records maintained documenting the procedures in question 31 include the following information: (Inquiry and Review supporting documentation)	—	—	—	542.13(g)(5)(ii)	

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	Machine number (source and destination)?					
41.	Are records maintained documenting the procedures in question 31 include the following information: (Inquiry and Review supporting documentation) Manufacturer?	___	___	___	542.13(g)(5)(ii)	
42.	Are records maintained documenting the procedures in question 31 include the following information: (Inquiry and Review supporting documentation) Program number?	___	___	___	542.13(g)(5)(iv)	
43.	Are records maintained documenting the procedures in question 31 include the following information: (Inquiry and Review supporting documentation) Personnel involved?	___	___	___	542.13(g)(5)(v)	
44.	Are records maintained documenting the procedures in question 31 include the following information: (Inquiry and Review supporting documentation) Reason for duplication?	___	___	___	542.13(g)(5)(vi)	
45.	Are records maintained documenting the procedures in question 31 include the following information: (Inquiry and Review supporting documentation) Disposition of any permanently removed EPROM, or other equivalent game software media?	___	___	___	542.13(g)(5)(vii)	
46.	Are records maintained documenting the procedures in question 31 include the following information: (Inquiry and Review supporting documentation) Seal numbers, if applicable?	___	___	___	542.13(g)(5)(viii)	

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47.	Are records maintained documenting the procedures in question 31 include the following information: (Inquiry and Review supporting documentation) Approved testing lab approval numbers?	_____	_____	_____	542.13(g)(5)(ix)	
48.	Are EPROM's, or other equivalent game software media, returned to gaming devices labeled with the program number? (Review other- complete Gaming Machine worksheet at question 38)	_____	_____	_____	542.13(g)(6)	
49.	If EPROM's or other equivalent game software media are returned to gaming devices, does supporting documentation include the following: (Review supporting documentation) Date?	_____	_____	_____	542.13(g)(6)	
50.	If EPROM's or other equivalent game software media are returned to gaming devices, does supporting documentation include the following: (Review supporting documentation) Program number?	_____	_____	_____	542.13(g)(6)	
51.	If EPROM's or other equivalent game software media are returned to gaming devices, does supporting documentation include the following: (Review supporting documentation) Information identical to that shown on the manufacturer's label?	_____	_____	_____	542.13(g)(6)	
52.	If EPROM's or other equivalent game software media are returned to gaming devices, does supporting documentation include the following: (Review supporting documentation) Initials of the person replacing the EPROM, or other equivalent game software media?	_____	_____	_____	542.13(g)(6)	
(h) Standards for evaluating theoretical and actual hold percentages.						
53.	Are accurate and current theoretical hold worksheets maintained for each gaming machine? (Review other- complete Gaming Machine	_____	_____	_____	542.13(h)(1)	

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	worksheet at question 38 above)					
54.	For multi-game/multi-denominational machines, does an employee or department independent of the gaming machine department: (Review other-complete Gaming Machine worksheet at question 38 above) (Note: The adjusted theoretical hold percentage for multi-game/multi-denominational machines may be combined for machines with exactly the same game mix throughout the year.) (Revised 5/4/05)	_____	_____	_____	542.13(h)(2)(i)	
	For those gaming devices that contain the meters that record the number of plays by wager: Weekly, record the total coin-in meter?					
55.	For multi-game/multi-denominational machines, does an employee or department independent of the gaming machine department: (Review other-complete Gaming Machine worksheet at question 38 above) (Note: The adjusted theoretical hold percentage for multi-game/multi-denominational machines may be combined for machines with exactly the same game mix throughout the year.) (Revised 5/4/05)	_____	_____	_____	542.13(h)(2)(ii)	
	For those gaming devices that contain the meters that record the number of plays by wager: Quarterly, record the coin-in meters for each payable contained in the machine?					
56.	For multi-game/multi-denominational machines, does an employee or department independent of the gaming machine department: (Review other-complete Gaming Machine worksheet at question 38 above) (Note: The adjusted theoretical hold percentage for multi-game/multi-denominational	_____	_____	_____	542.13(h)(2)(ii i)	

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	<p>machines may be combined for machines with exactly the same game mix throughout the year.) (Revised 5/4/05)</p> <p>For those gaming devices that contain the meters that record the number of plays by wager:</p> <p>On an annual basis, adjust the theoretical hold percentage in the gaming machine statistical report to a weighted average based upon the ratio of coin-in for each game payable?</p>					
57.	<p>For those gaming operations that are unable to perform the weighted average calculation as required by paragraph (h)(2) of this section, the following procedures shall apply: (Inquiry and review other-complete Gaming Machine worksheet at question 38 above)</p> <p>On at least an annual basis, calculate the actual hold percentage for each gaming machine?</p>	_____	_____	_____	542.13(h)(3)(i)	
58.	<p>For those gaming operations that are unable to perform the weighted average calculation as required by paragraph (h)(2) of this section, the following procedures shall apply: (Inquiry and review other-complete Gaming Machine worksheet at question 38 above)</p> <p>On at least an annual basis, adjust the theoretical hold percentage in the gaming machine statistical report for each gaming machine to the previously calculated actual hold percentage?</p>	_____	_____	_____	542.13(h)(3)(ii)	
59.	<p>For those gaming operations that are unable to perform the weighted average calculation as required by paragraph (h)(2) of this section, the following procedures shall apply: (Inquiry and review other-complete Gaming Machine worksheet at question 38 above)</p> <p>Is the adjusted theoretical hold percentage</p>	_____	_____	_____	542.13(h)(3)(ii)	

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	within the spread between the minimum and maximum theoretical payback percentages?					
60.	Are the theoretical hold percentages used in the gaming machine analysis reports within the performance standards set by the manufacturer? (Review other-complete Gaming Machine worksheet at question 38 above)	_____	_____	_____	542.13(h)(5)	
61.	Are records maintained for each machine indicating the dates and type of changes made and the recalculation of theoretical hold as a result of the changes? (Review other-complete Gaming Machine worksheet at question 38 above, Multi section)	_____	_____	_____	542.13(h)(6)	
62.	Are records maintained for each machine that indicate the date the machine was placed into service, the date the machine was removed from operation, the date the machine was placed back into operation, and any changes in machine numbers and designations? (Review other-complete Gaming Machine worksheet at question 38 above)	_____	_____	_____	542.13(h)(7)	
63.	Do all of the gaming machines contain functioning meters that record coin-in or credit-in, or on-line gaming machine monitoring system that captures similar data? (Inquiry and review supporting documentation)	_____	_____	_____	542.13(h)(8)	
64.	Do all gaming machines with bill acceptors contain functioning bill-in meters that record the dollar amounts or number of bills accepted by denomination? (Inquiry and review supporting documentation)	_____	_____	_____	542.13(h)(9)	
65.	Are gaming machine in-meter readings recorded at least weekly (monthly for Tier A and Tier B gaming operations) immediately prior to or subsequent to a gaming machine drop? Note: On-line gaming machine monitoring systems can satisfy this requirement. However, the time between readings may extend beyond one week in	_____	_____	_____	542.13(h)(10)	

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	order for a reading to coincide with the end of an accounting period only if such extension is for no longer than six (6) days. (Inquiry and review supporting documentation)					
66.	Is the employee who records the in-meter reading either independent of the hard count team or assigned on a rotating basis, unless the in-meter readings are randomly verified quarterly for all gaming machines and bill acceptors by a person other than the regular in-meter reader (manual meter readings)? (Inquiry)	_____	_____	_____	542.13(h)(11)	
67.	Upon receipt of the meter reading summary, does the accounting department review all meter readings for reasonableness using pre-established parameters? (Inquiry and review other- pre-established parameters) (Indicators: Negative change in meter reading and positive change inconsistent with normal play.)	_____	_____	_____	542.13(h)(12)	
68.	Prior to final preparation of statistical reports, are meter readings that do not appear reasonable reviewed with gaming machine department employees or other appropriate designees, and exceptions documented, so that meters can be repaired or clerical errors in the recording of meter readings can be corrected? (Inquiry and review supporting documentation)	_____	_____	_____	542.13(h)(13)	
69.	Is a report produced at least monthly showing month-to-date, year-to-date (previous twelve (12) months data preferred), and if practicable, life-to-date actual hold percentage computations for individual machines and a comparison to each machine's theoretical hold percentage previously discussed? (Examination of records)	_____	_____	_____	542.13(h)(14)	
70.	Is each change to a gaming machine's theoretical hold percentage, including progressive percentage contributions, result in that machine being treated as a new machine in the statistical reports (i.e., not commingling various hold percentages), except for adjustments made in accordance with questions 54-59 (Inquiry and review supporting	_____	_____	_____	542.13(h)(15)	

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	documentation)					
71.	If promotional payouts or awards are included on the gaming machine statistical reports, is it in a manner that prevents distorting the actual hold percentages of the affected machines? (Inquiry and review supporting documentation)	_____	_____	_____	542.13(h)(16)	
72.	Are the statistical reports reviewed by both gaming machine department management and management employees independent of the gaming machine department on at least a monthly basis? (Examination of records and inquiry)	_____	_____	_____	542.13(h)(17)	
73.	For those machines that have experienced at least 100,000 wagering transactions, are large variances (three percent (3%) recommended) between theoretical hold and actual hold investigated and resolved by a department independent of the gaming machine department with the findings documented? (Examination of records and inquiry)(Revised 5/4/05)	_____	_____	_____	542.13(h)(18)	
74.	Are the investigations provided to the TGRA upon request? (Inquiry)	_____	_____	_____	542.13(h)(18)	
75.	Is maintenance of the on-line gaming machine monitoring system data files performed by either: (Inquiry) A department independent of the gaming machine department? Or	_____	_____	_____	542.13(h)(19)	
76.	Is maintenance of the on-line gaming machine monitoring system data files performed by either: (Inquiry) By gaming machine supervisory employees if sufficient documentation is generated and it is randomly verified on a monthly basis by employees independent of the gaming machine	_____	_____	_____	542.13(h)(19)	

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	department (typically IT)?					
77.	Are updates to the on-line gaming machine monitoring system to reflect additions, deletions, or movements of gaming machines made at least weekly prior to in-meter readings and the weigh process? (Inquiry)	_____	_____	_____	542.13(h)(20)	
(i) Gaming machine hopper contents standards.						
78.	When machines are temporarily removed from the floor, are gaming machine drop and hopper contents protected to preclude the misappropriation of stored funds? (Observation)	_____	_____	_____	542.13(i)(1)	
79.	When machines are permanently removed from the floor, are the gaming machine drop and hopper contents counted and recorded by at least two employees with appropriate documentation being routed to the accounting department for proper recording and accounting for initial hopper loads? (Inquiry and review supporting documentation)	_____	_____	_____	542.13(i)(2)	
(j) Player tracking system.						
80.	If a player tracking system is utilized: Is the player tracking system secured so as to prevent unauthorized access (e.g., changing passwords at least quarterly and physical access to computer hardware, etc.)? (Inquiry)	_____	_____	_____	542.13(j)(1)(i)	
81.	Is the addition of points to members' accounts other than through actual gaming machine play: (Review supporting documentation and inquiry) Sufficiently documented (including substantiation of reasons for increases)?	_____	_____	_____	542.13(j)(1)(ii)	
82.	Is the addition of points to members' accounts other than through actual gaming machine play: (Review supporting documentation and inquiry) Authorized by either: A department independent of the player tracking and gaming machines? Or	_____	_____	_____	542.13(j)(1)(ii)	

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83.	Is the addition of points to members' accounts other than through actual gaming machine play: (Review supporting documentation and inquiry) Authorized by either: By gaming machine supervisory employees if sufficient documentation is generated and it is randomly verified by employees independent of the gaming machine department on a quarterly basis?	_____	_____	_____	542.13(j)(1)(ii)	
84.	If a player tracking system is utilized: Are booth employees who redeem points for members allowed to receive lost players club cards, provided that they are immediately deposited into a secured container for retrieval by independent personnel? (Observation and inquiry)	_____	_____	_____	542.13(j)(1)(iii)	
85.	If a player tracking system is utilized: Are changes to the player tracking system parameters, such as point structures and employee access, performed by either: (Inquiry) By supervisory employees independent of the gaming machine department? Or	_____	_____	_____	542.13(j)(1)(iv)	
86.	If a player tracking system is utilized: Are changes to the player tracking system parameters, such as point structures and employee access, performed by either: (Inquiry) By gaming machine supervisory employees if sufficient documentation is generated and it is randomly verified by supervisory employees independent of the gaming machine department on a monthly basis?	_____	_____	_____	542.13(j)(1)(iv)	
87.	If a player tracking system is utilized: Are all other changes to the player tracking system appropriately documented? (Inquiry)	_____	_____	_____	542.13(j)(1)(v)	

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(k) In-house progressive gaming machine standards.						
88.	For in-house progressive gaming machines: Is a meter that shows the amount of the progressive jackpot conspicuously displayed at or near the machines to which the jackpot applies? (Observation)	_____	_____	_____	542.13(k)(1)	
89.	For in-house progressive gaming machines: At least once each day, does each gaming operation record the amount shown on each progressive jackpot meter at the gaming operation except for those jackpots that can be paid directly from the machine's hopper? (Examination of records)	_____	_____	_____	542.13(k)(2)	
90.	For in-house progressive gaming machines: Are explanations for meter reading decreases maintained with the progressive meter reading sheets? (Examination of records)	_____	_____	_____	542.13(k)(3)	
91.	For in-house progressive gaming machines: Where the payment of a jackpot is the explanation for a decrease, does the gaming operation record the jackpot payout number on the sheet or have the number reasonably available? (Examination of records and inquiry)	_____	_____	_____	542.13(k)(3)	
92.	For in-house progressive gaming machines: Does the gaming operation record the base amount of each progressive jackpot the gaming operation offers? (Review supporting documentation)	_____	_____	_____	542.13(k)(4)	
93.	For in-house progressive gaming machines: If the gaming operation transfers progressive amounts in excess of the base amount, have procedures been developed for such transfers? (Note: Such procedures may also include other methods of distribution that accrue to the benefit of the gaming public via an award or	_____	_____	_____	542.13(k)(5)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
	prize. General funding of promotional allowances or activities is prohibited.) (Review policies and procedures)					
94.	For in-house progressive gaming machines: Has the gaming operation received approval for the progressive jackpot transfer procedures? (Review TGRA approval)	_____	_____	_____	542.13(k)(5)	
(I) Wide area progressive gaming machine standards.						
95.	For wide-area progressive gaming machine standards: Is a meter that shows the amount of the progressive jackpot conspicuously displayed at or near the machines to which the jackpot applies? (Observation)	_____	_____	_____	542.13(1)(1)	
96.	For wide-area progressive gaming machine standards: As applicable to participating gaming operations, is the wide area progressive gaming machine system adequately restricted to prevent unauthorized access (e.g., changing passwords at least quarterly, restrict access to EPROM's or other equivalent game software media, and restrict physical access to computer hardware, etc.)? (Inquiry)	_____	_____	_____	542.13(1)(2)	
97.	For wide-area progressive gaming machine standards: Do the procedures for the wide area progressive system include: (Review supporting documentation) Reconcile meters and jackpot payouts?	_____	_____	_____	542.13(1)(3)(i)	
98.	For wide-area progressive gaming machine standards: Do the procedures for the wide area progressive system include: (Review supporting documentation) Collect/drop gaming machine funds?	_____	_____	_____	542.13(1)(3)(ii)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
99.	For wide-area progressive gaming machine standards: Do the procedures for the wide area progressive system include: (Review supporting documentation) Verify jackpot, payment, and billing to gaming operations on pro-rata basis?	_____	_____	_____	542.13(1)(3)(iii)	
100.	For wide-area progressive gaming machine standards: Do the procedures for the wide area progressive system include: (Review supporting documentation) System maintenance?	_____	_____	_____	542.13(1)(3)(iv)	
101.	For wide-area progressive gaming machine standards: Do the procedures for the wide area progressive system include: (Review supporting documentation) System accuracy?	_____	_____	_____	542.13(1)(3)(v)	
102.	For wide-area progressive gaming machine standards: Do the procedures for the wide area progressive system include: (Review supporting documentation) System security?	_____	_____	_____	542.13(1)(3)(vi)	
103.	For wide-area progressive gaming machine standards: Has the gaming operation received approval for the wide area progressive procedures? (Review TGRA approval)	_____	_____	_____	542.13(1)(3)	
104.	For wide-area progressive gaming machine standards:	_____	_____	_____	542.13(1)(4)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
	Are reports, where applicable, adequately documenting the procedures required in questions 97-102 generated and retained? (Review other-wide area progressive reports)					
(m) Accounting/auditing standards.						
105.	Are gaming machine accounting/auditing procedures performed by employees who are independent of the transactions being reviewed? (Inquiry)	—	—	—	542.13(m)(1)	
106.	For on-line gaming machine monitoring systems, are procedures performed at least monthly to verify: (Examination of records) The system is transmitting and receiving data from the gaming machines properly?	—	—	—	542.13(m)(2)	
107.	For on-line gaming machine monitoring systems, are procedures performed at least monthly to verify: (Examination of records) Verify the continuing accuracy of the coin-in meter readings as recorded in the gaming machine statistical report?	—	—	—	542.13(m)(2)	
108.	For weigh scale and currency interface systems, for at least one drop period per month do accounting/auditing employees make comparisons as necessary to the system generated count as recorded in the gaming machine statistical report and are discrepancies resolved prior to generation/distribution of gaming machine reports? (Inquiry/ examination of records)	—	—	—	542.13(m)(3)	
109.	For each drop period, do accounting/auditing personnel compare the coin-to-drop meter reading to the actual drop amount and discrepancies resolved prior to generation/distribution of on-line gaming machine monitoring system statistical reports? (Examination of records and inquiry)	—	—	—	542.13(m)(4)	
110.	For any one machine having an unresolved variance between actual coin drop and coin-to-drop meter reading in excess of three percent (3%)	—	—	—	542.13(m)(5)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
	and over \$25.00: (Examination of records and inquiry) Is follow-up performed and the results of the investigation documented and maintained for inspection?					
111.	Are the results of the investigations provided to the TGRA upon request? (Inquiry)	_____	_____	_____	542.13(m)(5)	
112.	For each drop period, do accounting/auditing employees compare the bill-in meter reading to the total bill acceptor drop amount for the period and are discrepancies resolved before the generation/distribution of gaming machine statistical reports? (Examination of records and inquiry)(Revised 5/4/05)	_____	_____	_____	542.13(m)(6)	
113.	For any one machine having an unresolved variance between actual currency drop and bill-in meter reading that is both in excess of \$25 and at least three percent (3%) of the actual currency drop: (Examination of records and inquiry)(Revised 5/4/05) Is follow-up performed and the results of the investigation documented and maintained for inspection?	_____	_____	_____	542.13(m)(7)	
114.	Are the results of the investigations provided to the TGRA upon request? (Inquiry)	_____	_____	_____	542.13(m)(7)	
115.	At least annually, do accounting/auditing personnel randomly verify that EPROM or other equivalent game software media changes are properly reflected in the gaming machine analysis reports? (Inquiry and review supporting documentation)	_____	_____	_____	542.13(m)(8)	
116.	Do accounting/auditing employees review exception reports for all computerized gaming machine systems on a daily basis for propriety of transactions and unusual occurrences?	_____	_____	_____	542.13(m)(9)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
(Examination of records and inquiry)						
117.	Are all gaming machine auditing procedures and any follow-up performed documented and maintained for inspection? (Examination of records and inquiry)	---	---	---	542.13(m)(10)	
118.	Are gaming machine auditing procedures and any follow-up provided to the TGRA upon request? (Inquiry)	---	---	---	542.13(m)(10)	
(n) Cash-out tickets.						
119.	Do Tier A and B gaming operations develop adequate standards governing the security over the issuance of the cash-out paper to the gaming machines and the redemption of cash-out slips? (Inquiry and review policies and procedures) (Indicators: Paper inventory control, paper security measures, prompt destruction of unused paper, paper characteristic security measures, transfer security measures, redemption at controlled location (cage and change booths), random sampling of cash-out tickets for propriety.)	---	---	---	542.13(n)	
120.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) In addition to the applicable auditing and accounting standards in questions 105-118, on a quarterly basis, does the gaming operation foot all jackpot cash-out tickets equal to or greater than \$1,200 and trace totals to those produced by the host validation computer system? (Examination of records)	---	---	---	542.13(n)(1)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
121.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B)(Observation) Is the cash-out ticket, which reflects all remaining credits, printed at the gaming machine by an internal document printer?	_____	_____	_____	542.13(n)(2)	
122.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) Is the cash-out ticket valid for a time period specified by the gaming operation? (Note: Cash-out tickets may be redeemed for payment or inserted in another gaming machine and wagered, if applicable, during the specified time period.) (Review supporting documentation)	_____	_____	_____	542.13(n)(2)	
123.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) Has the gaming operation received approval for the specified time period? (Review TGRA approval)	_____	_____	_____	542.13(n)(2)	
124.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) Does the customer redeem the cash-out ticket at a change booth, cashiers' cage or by remote validation system? (Observation)	_____	_____	_____	542.13(n)(3)	
125.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) If the gaming operation utilizes a remote computer validation system, did the gaming operation develop alternate standards for the maximum amount that can be redeemed, which shall not exceed \$2,999.99 per cash-out transaction? (Inquiry, observation and review supporting documentation)	_____	_____	_____	542.13(n)(3)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
126.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) If the gaming operation utilizes a remote computer validation system, was approval received for the use of such system? (Review TGRA approval)	_____	_____	_____	542.13(n)(3)	
127.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) Upon presentation of the cash-out ticket(s) for redemption, is the following performed: (Observation) Scan the bar code via an optical reader or its equivalent? Or	_____	_____	_____	542.13(n)(4)(i)	
128.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) Upon presentation of the cash-out ticket(s) for redemption, is the following performed: (Observation) Input the cash-out ticket validation number into the computer?	_____	_____	_____	542.13(n)(4)(ii)	
129.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) Is the information contained in questions 127-128 communicated to the host computer and does the host computer verify the authenticity of the cash-out ticket and communicate directly to the redeemer of the cash-out ticket? (Observation and inquiry)	_____	_____	_____	542.13(n)(5)	
130.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are	_____	_____	_____	542.13(n)(6)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
	not applicable to Tiers A and B) If valid, does the cashier (redeemer of the cash-out ticket) pay the customer the appropriate amount and is the cash-out ticket electronically noted "paid" in the system (ticket validated before cash changes hands)? (Observation)					
131.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) Does the "paid" cash-out ticket remain in the cashiers' bank for reconciliation purposes? (Observation and inquiry)	_____	_____	_____	542.13(n)(6)	
132.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) Does the host validation computer system electronically reconcile the cashier's banks for the paid cash-out tickets? (Observation and inquiry)	_____	_____	_____	542.13(n)(6)	
133.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) If invalid, does the host computer notify the cashier (redeemer of the cash-out ticket)? (Observation and inquiry)	_____	_____	_____	542.13(n)(7)	
134.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) If invalid, does the cashier (redeemer of the cash-out ticket) refuse payment to the customer and notify a supervisor of the invalid condition and does the supervisor resolve the dispute? (Inquiry)	_____	_____	_____	542.13(n)(7)	
135.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B)	_____	_____	_____	542.13(n)(8)(i)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
	If the host validation computer system temporarily goes down, do cashiers redeem cash-out tickets at a change booth or cashier's cage after recording the following: (Inquiry) Serial number of the cash-out ticket?					
136.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) If the host validation computer system temporarily goes down, do cashiers redeem cash-out tickets at a change booth or cashier's cage after recording the following: (Inquiry) Date and time?	_____	_____	_____	542.13(n)(8)(ii)	
137.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) If the host validation computer system temporarily goes down, do cashiers redeem cash-out tickets at a change booth or cashier's cage after recording the following: (Inquiry) Dollar amount?	_____	_____	_____	542.13(n)(8)(ii)	
138.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) If the host validation computer system temporarily goes down, do cashiers redeem cash-out tickets at a change booth or cashier's cage after recording the following: (Inquiry) Issuing gaming machine number?	_____	_____	_____	542.13(n)(8)(iv)	
139.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) If the host validation computer system	_____	_____	_____	542.13(n)(8)(v)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
	temporarily goes down, do cashiers redeem cash-out tickets at a change booth or cashier's cage after recording the following: (Inquiry) Marking ticket "paid"?					
140.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) If the host validation computer system temporarily goes down, do cashiers redeem cash-out tickets at a change booth or cashier's cage after recording the following: (Inquiry) Do the tickets remain in the cashier's bank for reconciliation purposes?	_____	_____	_____	542.13(n)(8)(v) i)	
141.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) Are cash-out tickets validated as expeditiously as possible when the host validation computer system is restored? (Inquiry)	_____	_____	_____	542.13(n)(9)	
142.	For gaming machines that utilize cash-out tickets, the following standards apply: (The standards are not applicable to Tiers A and B) If the host validation computer system is down for more than four (4) hours, does the gaming operation promptly notify the Tribal gaming regulatory authority or its designated representative? (Inquiry)	_____	_____	_____	542.13(n)(11)	
(o) Account access cards.						
143.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply:	_____	_____	_____	542.13(o)(1)(i)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
	Does a central computer, with supporting hardware and software, coordinate network activities, provide system interface, and store and manage a player/account database? (Observation and inquiry)					
144.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: Is a network of contiguous player terminals with touch-screen or button-controlled video monitors connected to an electronic selection device and the central computer via a communications network? (Observation and inquiry)	_____	_____	_____	542.13(o)(1)(ii)	
145.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: Does one or more electronic selection devices, utilize random number generators, each of which selects any combination or combinations of numbers, colors, and/or symbols for a network of player terminals? (Inquiry)	_____	_____	_____	542.13(o)(1)(ii)	
146.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: (Observation) For player terminals: Are the player terminals connected to a game server? (Observation and inquiry)	_____	_____	_____	542.13(o)(2)(i)	
147.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For player terminals: Does the game server generate and transmit to the bank of player terminals a set of random numbers, colors, and/or symbols at regular	_____	_____	_____	542.13(o)(2)(ii)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
	intervals? (Observation and inquiry)					
148.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For player terminals (Observation & inquiry): Are the subsequent game results determined at the player terminal and the resulting information transmitted to the account server?	___	___	___	542.13(o)(2)(ii)	
149.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: (Observation) For player terminals: Is the game server housed in a game server room or a secure locked cabinet?	___	___	___	542.13(o)(2)(ii)	
150.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For customer account maintenance:: Is a central computer acting as an account server provide customer account maintenance and the deposit/withdrawal function of those account balances? (Observation & inquiry)	___	___	___	542.13(o)(3)(i)	
151.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For customer account maintenance: Can customers access their accounts on the computer system by means of an account access card at the player terminal? Note: Each player terminal may be equipped with a card reader and personal identification number (PIN) pad or touch screen array for this purpose. (Observation and inquiry)	___	___	___	542.13(o)(3)(ii)	
152.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: (Inquiry)	___	___	___	542.13(o)(3)(ii)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
	For customer account maintenance: Are all communications between the player terminal, or bank of player terminals, and the account server encrypted for security reasons?					
153.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For customer account generation: Is a computer file for each customer prepared by a clerk, with no incompatible functions (Clerks who setup accounts are prohibited from accepting currency deposits), prior to the customer being issued an account access card to be utilized for machine play? (Observation and inquiry)	—	—	—	542.13(o)(4)(i)	
154.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For customer account generation: Does the customer have the option of selecting his/her PIN to be used in conjunction with the account access card? (Observation and inquiry)	—	—	—	542.13(o)(4)(i)	
155	For each customer file, does an employee: Record the customer's name and current address? (review supporting documentation) (Revised May 11, 2006)	—	—	—	542.13(o)(4)(ii)(A)	
156	For each customer file, does an employee: Record the date the account was opened? Review supporting documentation) (Revised May 11, 2006)	—	—	—	542.13(o)(4)(ii)(B)	
157	For each customer file, does an employee: Verify the identity of customer by examination of a valid driver's license or other reliable identity credential at the time the initial deposit is made, account opened, or credit extended? (Observation	—	—	—	542.13(o)(4)(ii)(C)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
	and inquiry) (Revised May 11, 2006)					
158.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For customer account generation: Does the clerk sign-on with a unique password to a terminal equipped with peripherals required to establish a customer account? (Observation and inquiry) (Revised May 11, 2006)	_____	_____	_____	542.13(o)(4)(ii)	
159.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For customer account generation: Are passwords issued and only changed by information technology personnel at the discretion of the department director? (Observation and inquiry) (Revised May 11, 2006)	_____	_____	_____	542.13(o)(4)(ii)	
160.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For customer account generation: After entering a specified number of incorrect PIN entries at the cage or player terminal, is the customer directed to proceed to the Gaming Machine Information Center to obtain a new PIN? (Inquiry) (Revised May 11, 2006)	_____	_____	_____	542.13(o)(4)(iv)	
161.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For customer account generation: If a customer forgets, misplaces or requests a change to their PIN, is the customer directed to	_____	_____	_____	542.13(o)(4)(iv)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
	the clerk? (Inquiry) (Revised May 11, 2006)					
162.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For deposit of credit standards: Does the cashier sign-on with a unique password to a cashier terminal equipped with peripherals required to complete the credit transactions? (Observation and inquiry)	_____	_____	_____	542.13(o)(5)(i)	
163.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For deposit of credit standards: Are passwords issued and only changed by information technology personnel at the discretion of the department director? (Inquiry)	_____	_____	_____	542.13(o)(5)(i)	
164.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For deposit of credit standards: Does the customer present cash, chips, coin or coupons along with their account access card to a cashier to deposit credits? (Observation and inquiry)	_____	_____	_____	542.13(o)(5)(ii)	
165.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For deposit of credit standards: Does the cashier complete the transaction by utilizing a card scanner that the cashier shall slide the customer's account access card through? (Observation and inquiry)	_____	_____	_____	542.13(o)(5)(ii i)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
166.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For deposit of credit standards: Does the cashier accept the funds from the customer and enter the appropriate amount on the cashier terminal? (Observation and inquiry)	_____	_____	_____	542.13(o)(5)(i)v)	
167.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For deposit of credit standards: Is a multi-part deposit slip generated by the point of sale receipt printer? (Observation and inquiry)	_____	_____	_____	542.13(o)(5)(v)	
168.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For deposit of credit standards: Does the cashier direct the customer to sign the deposit slip receipt? (Observation and inquiry)	_____	_____	_____	542.13(o)(5)(v)	
169.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For deposit of credit standards: Is one copy of the deposit slip given to the customer and the other copy of the deposit slip secured in the cashier's cash drawer? (Examination of records and observation)	_____	_____	_____	542.13(o)(5)(v)	
170.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For deposit of credit standards: Does the cashier verify the customer's balance before completing the transaction?	_____	_____	_____	542.13(o)(5)(v)i)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
	(Observation and Inquiry)					
171.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For deposit of credit standards: Does the cashier secure the funds in their cash drawer and return the account access card to the customer? (Observation and Inquiry)	_____	_____	_____	542.13(o)(5)(vi)	
172.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For deposit of credit standards: If a kiosk is utilized to accept a deposit of credits, did the gaming operation establish and comply with procedures that safeguard the integrity of the kiosk system? (Inquiry and review policies and procedures)	_____	_____	_____	542.13(o)(5)(vii)	
173.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For deposit of credit standards: If a kiosk is utilized to accept a deposit of credits, did the gaming operation receive approval for the use of such device? (Review TGRA approval)	_____	_____	_____	542.13(o)(5)(vii)	
174.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For prize standards: Do winners at the gaming machines receive cash, prizes redeemable for cash or	_____	_____	_____	542.13(o)(6)(i)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
	merchandise? (Inquiry)					
175.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For prize standards: If merchandise prizes are to be awarded, is the specific type of prize or prizes that may be won disclosed to the player before the game begins? (Observation)	_____	_____	_____	542.13(o)(6)(ii)	
176.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For prize standards: Is the redemption period of account access cards conspicuously posted in the gaming operation? (Observation)	_____	_____	_____	542.13(o)(6)(ii)	
177.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For prize standards: Did the gaming operation receive approval for the redemption period? (Review TGRA approval)	_____	_____	_____	542.13(o)(6)(ii)	
178.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For credit withdrawal: Does the customer present their account access card to a cashier to withdraw their credits? (Observation and inquiry)	_____	_____	_____	542.13(o)(7)	
179.	For gaming machines that utilize account access	_____	_____	_____	542.13(o)(7)(i)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
	cards to activate play of the machine, the following standards apply: For credit withdrawal does the cashier perform the following: (Observation and inquiry) Scan the account access card?					
180.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For credit withdrawal does the cashier perform the following: (Observation and inquiry) Request the customer to enter their PIN, if the PIN was selected by the customer?	_____	_____	_____	542.13(o)(7)(i)	
181.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For credit withdrawal does the cashier perform the following: (Observation and inquiry) The cashier ascertains the amount the customer wishes to withdraw and enters the amount into the computer?	_____	_____	_____	542.13(o)(7)(i i)	
182.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For credit withdrawal does the cashier perform the following: (Observation and inquiry) A multi-part withdrawal slip generated by the point of sale receipt printer?	_____	_____	_____	542.13(o)(7)(i v)	
183.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For credit withdrawal does the cashier perform the following: (Observation and inquiry) Directs the customer to sign the withdrawal	_____	_____	_____	542.13(o)(7)(i v)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
	slip?					
184.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For credit withdrawal does the cashier perform the following: (Observation and inquiry) Verifies that the account access card and the customer match by comparing the customer to image on the computer screen, comparing the customer to image on customer's picture ID, or comparing the customer signature on the withdrawal slip to signature on the computer screen?	_____	_____	_____	542.13(o)(7)(v)	
185.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For credit withdrawal does the cashier perform the following: (Observation and inquiry) Verifies the customer's balance before completing the transaction?	_____	_____	_____	542.13(o)(7)(vi)	
186.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For credit withdrawal does the cashier perform the following: (Observation and inquiry) Does the cashier pay the customer the appropriate amount, issue the customer the original withdrawal slip and return the account access card to the customer?	_____	_____	_____	542.13(o)(7)(vi)	
187.	For gaming machines that utilize account access cards to activate play of the machine, the	_____	_____	_____	542.13(o)(7)(vii)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
	following standards apply: For credit withdrawal does the cashier perform the following: (Examination of records) Place the copy of the withdrawal slip placed in the cash drawer?					
188.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: Are all account transactions accurately tracked by the account server computer system? (Inquiry)	_____	_____	_____	542.13(o)(7)(v ii)	
189.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For credit withdrawal does the cashier perform the following: (Observation and inquiry) Is the copy of the withdrawal slip forwarded to the accounting department at the end of the gaming day?	_____	_____	_____	542.13(o)(7)(v ii)	
190.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: For credit withdrawal does the cashier perform the following: (Observation and inquiry) In the event the imaging function is temporarily disabled, are customers required to provide positive ID for cash withdrawal transactions at the cashier stations? (Inquiry)	_____	_____	_____	542.13(o)(7)(v iii)	
(p) Smart cards.						
191.	For gaming machines that utilize account access cards to activate play of the machine, the following standards apply: Are all smart cards (i.e., cards that possess the means to electronically store and retrieve data) that maintain the only source of account data prohibited? (Inquiry)	_____	_____	_____	542.13(p)	

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#	MICS QUESTION	YES	NO	W/P REF	MICS	COMMENT
(b) Computer applications.						
192.	If the gaming operation is utilizing any computer applications with alternative documentation and/or procedures, did the gaming operation receive approval for such procedures? (Review TGRA approval)	_____	_____	_____	542.13(b)	